

Computer-aided proofs in dynamics IV

Heterodimensional cycles* / invariant manifolds / CAPD

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***joint work with**

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Plan of the presentation

- A correction
- Heterofimensional cycles
- Stable/unstable manifolds
- Some hints concerning CAPD

Hyperbolic set - correction!

$$f : \mathbb{R}^n \rightarrow \mathbb{R}^n$$

$N_i = (|N_i|, \eta_i)$ are h-sets

$$f_{ji} = \eta_j^{-1} \circ f \circ \eta_i$$

Theorem

Let $\Lambda = \text{Inv}(f, \cup N_i)$ and let

$$\mathcal{K} = \text{diag}(Id_u, -Id_s).$$

If for $z \in \eta_i^{-1}(f^{-1}(N_j) \cap N_i)$

$$Df_{ji}(z)^T \mathcal{K} Df_{ji}(z) - \mathcal{K}$$

is positive definite, then Λ is a hyperbolic set.

[W] Wilczak, Uniformly hyperbolic attractor of the Smale-Williams type for a Poincaré map in the Kuznetsov system, SIADS (2010)

Heterodimensional cycles

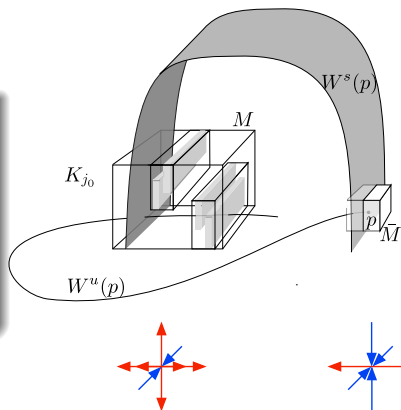
Definition (Heterodim. cycle)

$\Lambda, \bar{\Lambda}$ hyperbolic sets and

$$W^s(\Lambda) \cap W^u(\bar{\Lambda}) \neq \emptyset$$

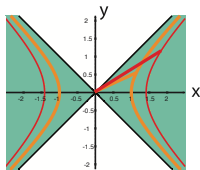
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$$\dim W^s(\Lambda) \neq \dim W^s(\bar{\Lambda})$$



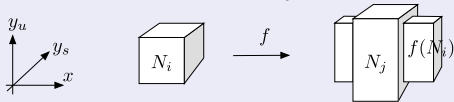
Our tools

$$C(z) = \{q : \|\pi_x(q - z)\| \geq \|\pi_y(q - z)\|\}$$



Definition

We say that $N_i \implies N_j$ iff



Definition

f satisfies cone conditions iff

$$f(C(z)) \subset C(f(z))$$

Lemma

If h is a disc in N and $N \xrightarrow{cc} M$
then \exists hor. disc $h^* : B_u \rightarrow M$

$$F(h(B_u)) \cap M = h^*(B_u)$$

Remark: Cone conditions checked in local coordinates

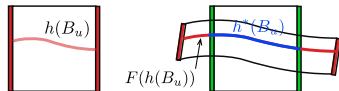
Notation:

$$N_i \xrightarrow{cc} N_j$$

covering and cone conditions

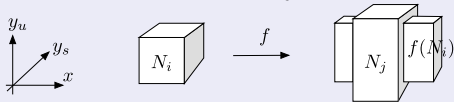
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Heterodimensional cycles

Consider two hyperbolic sets:

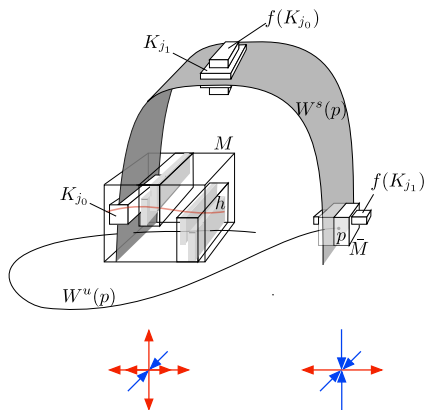
$$\Lambda \subset \bigcup N_i \quad \bar{\Lambda} \subset \bigcup \bar{N}_i$$

Let $M \in \{N_i\}$, $\bar{M} \in \{\bar{N}_i\}$ and $\{K_j\}$ h-sets.

Definition

Λ is connected with $\bar{\Lambda}$ iff
 $\forall h \in M$ hor. disc $\exists j_0, \dots, j_k$ s.t.

- $h \cap K_{j_0}$ is a horizontal disc
- $K_{j_0} \xrightarrow{cc} \dots \xrightarrow{cc} K_{j_k} \xrightarrow{cc} \bar{M}$



Heterodimensional cycles

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Theorem

Consider two hyperbolic sets Λ_1, Λ_2 s.t.

$$\dim W^s(\Lambda_1) \neq \dim W^s(\Lambda_2)$$

If for every $a, b \in \{1, 2\}$

Λ_a is connected with Λ_b

then there exists a (robust) heterodimensional cycle.

[BD] Bonatti, Diaz, Persistent nonhyperbolic transitive diffeomorphisms, Ann. of Math. 1996

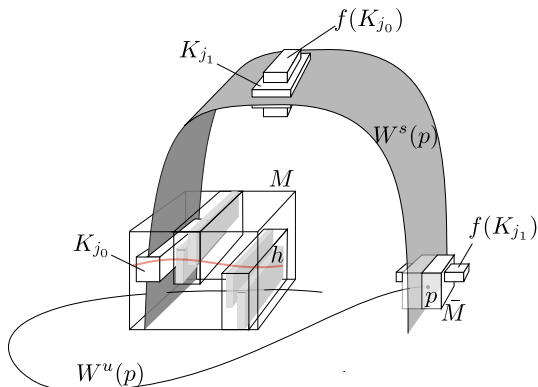
Heterodimensional cycles

Comments:

- Assumptions verifiable with CAP

$$K_0 \xrightarrow{cc} \dots \xrightarrow{cc} K_n$$

- General framework
- In search of examples



Stable manifold theorem

$$F : \mathbb{R}^n \rightarrow \mathbb{R}^n$$

$$N = B_u \times B_s$$

Cone condition:

$$Q(F(p) - F(q)) > Q(p - q)$$

Assume: $N \stackrel{cc}{\Rightarrow} N$

Lemma

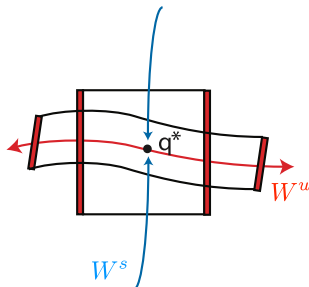
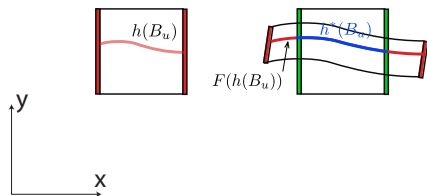
There exists $h^* : B_u \rightarrow N$

$$F(h(B_u)) \cap N = h^*(B_u)$$

Theorem

There exists W^s such that for any $q \in W^s$

$$F^n(q) \in N \quad n \geq 0$$



P. Zgliczyński "Covering relations, cone conditions and the stable manifold theorem" JDE 2009

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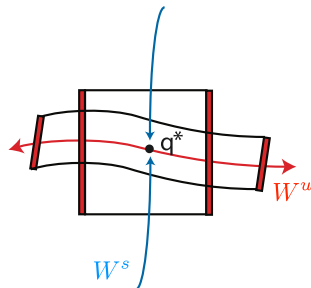
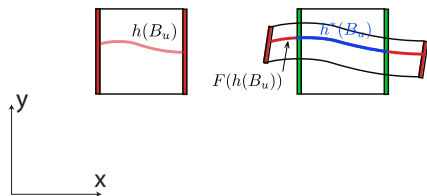
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CAPD - some tools

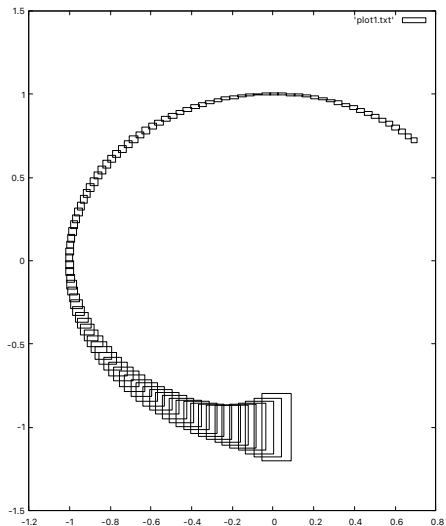
Flow along a solution of an ODE

```
int order = 20;
IMap f = "var:x,y;"
        "fun:-y+x*(1-(x^2+y^2)^(-0.5)),\"
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IOdeSolver solver(f,order);
ITimeMap Phi(solver);
interval T(4);

IVector x(2);
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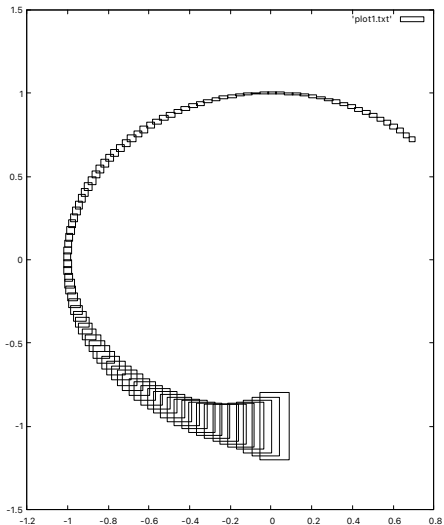
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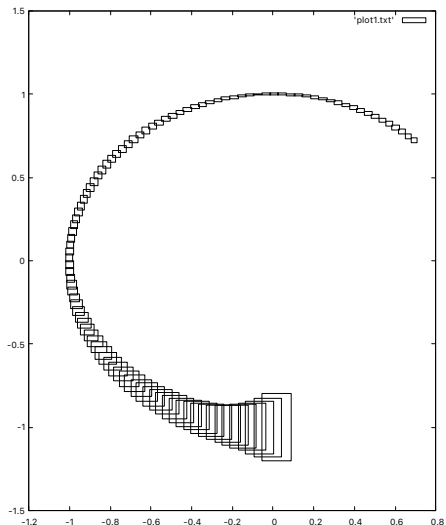
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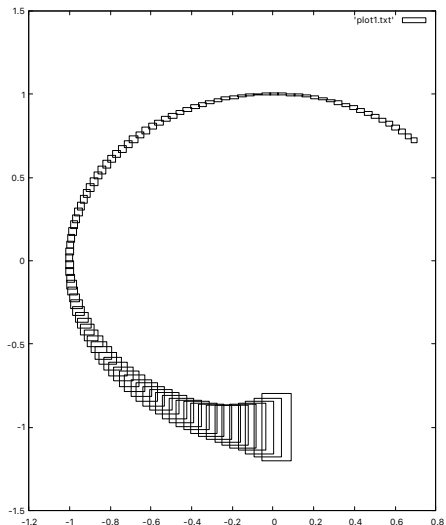
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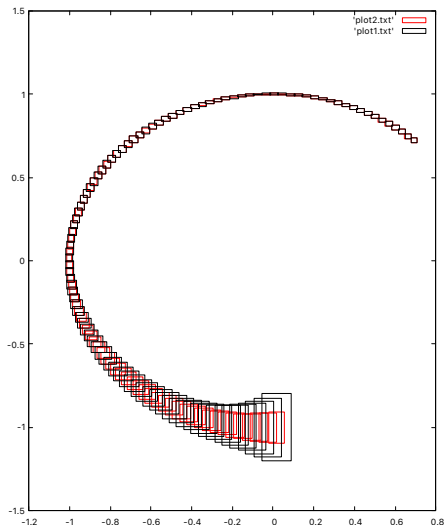
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CAPD - some tools

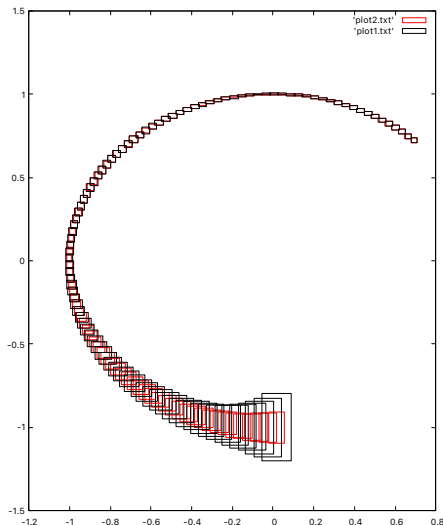
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IMatrix A(2,2);
A = ...
COWRect2Set X(x,A,Y);
SolutionCurve curve(0.0);
Phi(T,X,curve);
interval t=interval(1.0,1.1);
x = curve(t);
```



CAPD - some tools

Flow along a solution of an ODE

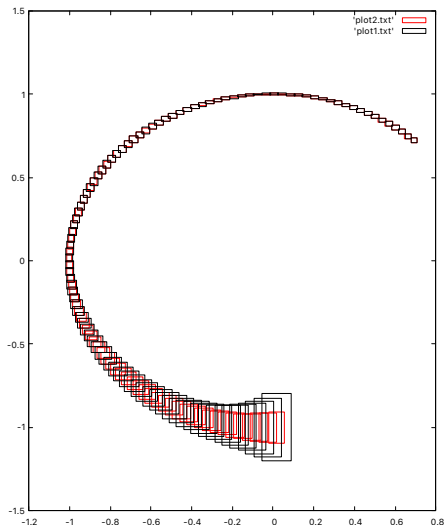
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Phi(T,X,D);
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CAPD - some tools

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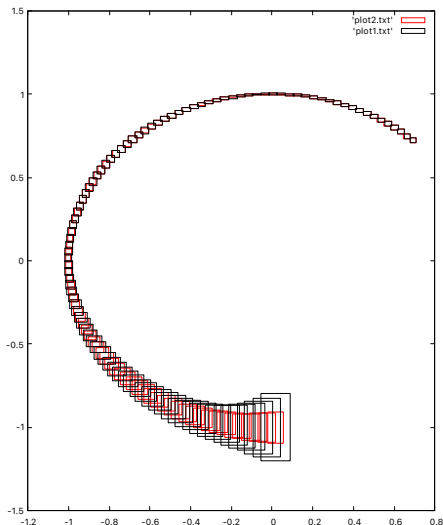
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Coordinates are important.

How to set up Poincaré sections

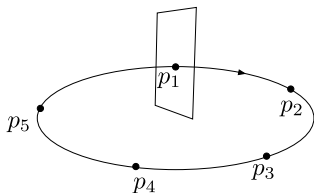
Example

$$f : \mathbb{R}^3 \rightarrow \mathbb{R}^3 \quad p' = f(p)$$

- 1 Find periodic orbit.

$$F : \mathbb{R}^{3n} \rightarrow \mathbb{R}^{3n}$$

$$p = (x, y, z)$$



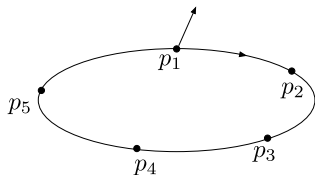
$$F(y_1, z_1, p_2, \dots, p_{n-1}, T) = \begin{pmatrix} \phi_T(0, y_1, z_1) - p_2 \\ \phi_T(p_2) - p_3 \\ \dots \\ \phi_T(p_{n-2}) - p_{n-1} \\ \phi_T(p_{n-1}) - (0, y_1, z_1) \end{pmatrix}$$

Use standard (not interval) Newton method

One can use Krawczyk method to validate $F(q^*) = 0$ for $q^* \in X$.

How to set up Poincaré sections

Example



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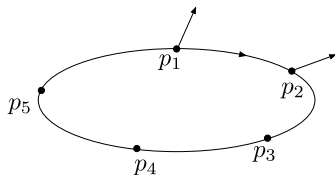
- 1 Find periodic orbit.
- 2 Generate unstable/stable vector.

Choose $\Sigma_k = \{v : v \perp f(p_k)\}$ and $\mathcal{P}_k : \Sigma_k \rightarrow \Sigma_{k+1}$. Compute

$$v_{k+1} = \lambda_k D\mathcal{P}_k v_k$$

How to set up Poincaré sections

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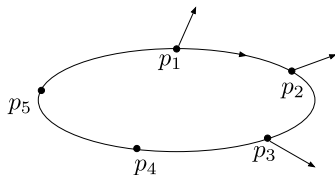
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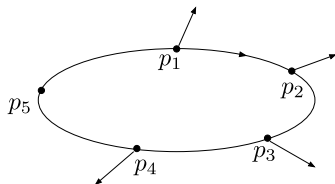
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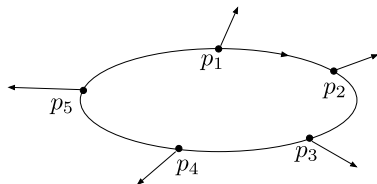
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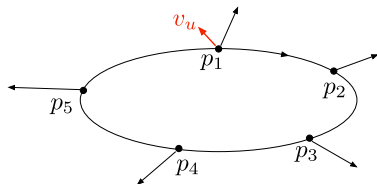
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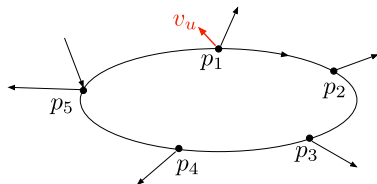
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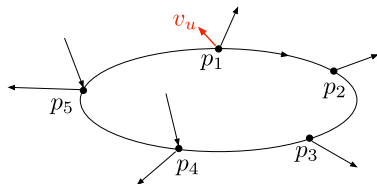
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How to set up Poincaré sections

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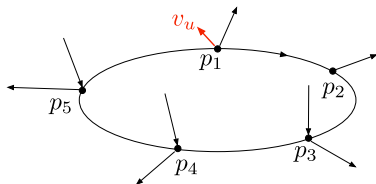
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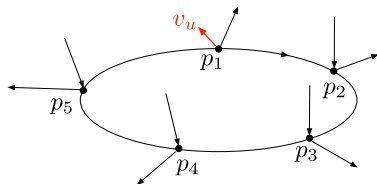
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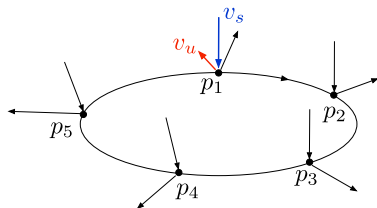
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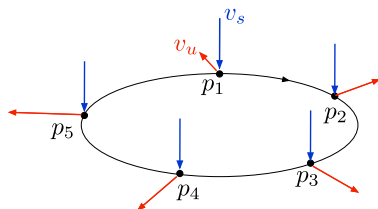
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- 3 Propagate v_u, v_s to p_2, p_2, \dots

- 4 In interval arithmetic, make sure that v_u and v_s are on sections!



How to set up Poincaré sections

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- 2 Generate unstable/stable vector.

Choose $\Sigma_k = \{v : v \perp f(p_k)\}$ and $\mathcal{P}_k : \Sigma_k \rightarrow \Sigma_{k+1}$.

$$v_k = \lambda_k D\mathcal{P}_k^{-1} v_{k+1}$$

- 3 Propagate v_u, v_s to p_2, p_2, \dots

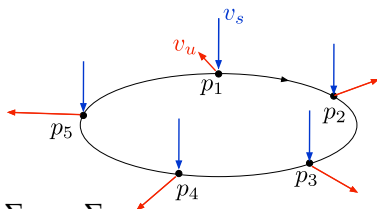
- 4 In interval arithmetic, make sure that v_u and v_s are on sections!
- 5 Choose

$$A_k = [v_u^k \ v_s^k f(p_k)]$$

$$p = p_k + A_k w \quad \Sigma_k = \{p_k + A_k(x, y, 0) : x, y \in \mathbb{R}\}$$

local map

$$(x, y) \mapsto \pi_{x,y}[A_{k+1}^{-1}](\mathcal{P}_k(p_k + A_k(x, y, 0)) - p_{k+1})$$



CAPD - some tools

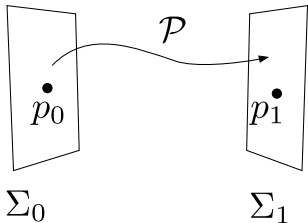
Poincaré map code

```
int order = 20;
IMap f = ...
IOdeSolver solver(f,order);

IVector p0=..., p1=...;
IAffineSection section(p1,f(p1));
IPoincareMap P(solver,section);

IMatrix A1=..., A0=...;
IVector Y=...;

CRect2Set X(p0,A0,Y);
cout << "f10(Y) = " << gauss(A1,P(X)-p1) << endl;
```



$$\Sigma_k = \{p_k + A_k(x, y, 0) : x, y \in \mathbb{R}\}$$

CAPD - some tools

Poincaré map code

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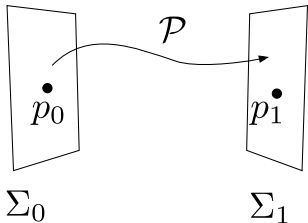
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CAPD - some tools

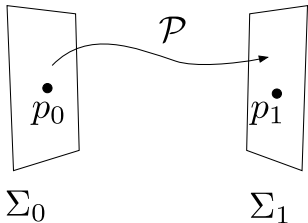
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CAPD - some tools

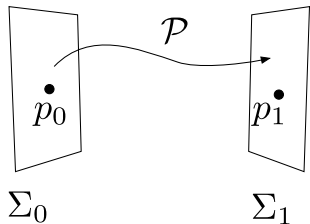
Poincaré map code

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CAPD - some tools

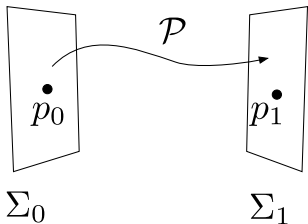
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CAPD - some tools

Poincaré map code

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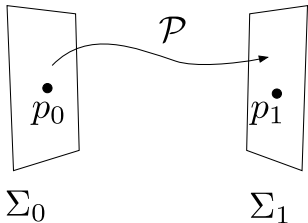
IVector p0=..., p1=...;
IAffineSection section(p1,f(p1));

IODESolver solver(f,order);
IPoincareMap P(solver,section);

IMatrix A1=...,A0=...;
IVector Y=...;

C1Rect2Set X(p0,A0,Y);
IMatrix DPhi;
IVector y = P(X,DPhi);
IMatrix DP = P.computeDP(y,DPhi);

cout << "f10(Y) = " << gauss(A1,y-p1) << endl;
cout << "Df10(Y) = " << gaussInverseMatrix(A1)*DP*A0 << endl;
```



$$\Sigma_k = \{p_k + A_k(x, y, 0) : x, y \in \mathbb{R}\}$$

- We should use: $f_{10}(Y) \subset f_{10}(y_0) + [Df_{10}(Y)](Y - y_0)$

CAPD - some tools

Poincaré map code

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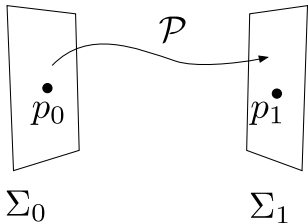
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CAPD - some tools

Poincaré map code

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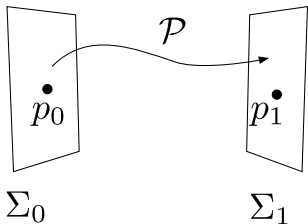
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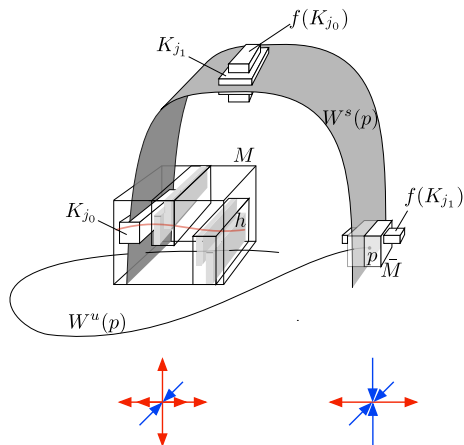


$$\Sigma_k = \{p_k + A_k(x, y, 0) : x, y \in \mathbb{R}\}$$

- **We should use:** $f_{10}(Y) \subset f_{10}(y_0) + [Df_{10}(Y)](Y - y_0)$

Final comments

- CAP provide a versatile tool for establishing properties of dynamical systems
- Blenders in concrete examples / 'real life' problems?
- Heterodimensional cycles?



Thank you for your attention.